

#9  
BT

12-2-02

01263.001726 (1263.1726)

PATENT APPLICATION



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
: Examiner: Unassigned  
Alistair William MCLEAN, et al. )  
: Group Art Unit: 2182  
Application No.: 09/986,814 )  
:   
Filed: November 13, 2001 )  
:   
For: FILTER BASED AUTHORIZING TOOL ) November 19, 2002

**RECEIVED**

NOV 20 2002

Commissioner for Patents  
Washington, D.C. 20231

Technology Center 2100

THIRD INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the document listed on the enclosed Form PTO-1449. A copy of the listed document is also enclosed.

FORMAL MATTERS

In accordance with 37 C.F.R. § 1.97(b), since a first Office Action on the merits of the subject application has not yet been issued, neither a statement under 37 C.F.R. § 1.97(e) nor payment of a fee is believed to be required for consideration of this Information Disclosure Statement. However, the Commissioner is hereby authorized to

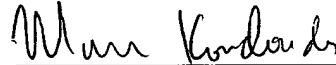
charge any fee which may be required in connection with this paper to Deposit Account No. 06-1205. A duplicate of this paper is enclosed for that purpose.

CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that the enclosed Form PTO-1449 be returned with the next official communication indicating that such information has been considered.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our below-listed address.

Respectfully submitted,

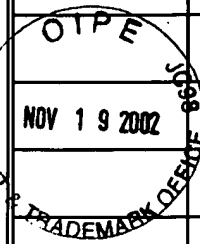


\_\_\_\_\_  
Attorney for Applicants  
Michael E. Kondoudis  
Registration No. 42,758

FITZPATRICK, CELLA, HARPER & SCINTO  
30 Rockefeller Plaza  
New York, New York 10112-3801  
Facsimile: (212) 218-2200

MEK:cmv

DC\_MAIN 115692 v 1

FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)  Date submitted to the PTO: <b>November 19, 2002</b>				ATTY DOCKET NO. <b>01263.001726</b> <b>(1263.1726)</b>		APPLICATION NO. <b>09/986,814</b>	
				APPLICANT <b>Alistair William MCLEAN, et al.</b>			
				FILING DATE <b>Nov mber 13, 2001</b>		GROUP <b>2182</b>	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
							
						<b>RECEIVED</b> <b>NOV 20 2002</b> <b>Technology Center 2100</b>	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
		<b>"Cantata: The Visual Programming Environment for the Khoros System," Argiro, et al.,          International Association of Science and Technology for Development (IASTED) Collaborative          Technologies Symposium (CTS 2000) Conference in Las Vegas, Nevada, November 2000, pp. 1-9.</b>					
EXAMINER				DATE CONSIDERED			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 1